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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/825,777	04/15/2004	Jeffrey H. Dunn	P27,414US

CONFIRMATION NO. 8776

23307
SYNNESTVEDT & LECHNER, LLP
2600 ARAMARK TOWER
1101 MARKET STREET
PHILADELPHIA, PA 191072950

FORMALITIES LETTER



OC000000013081000

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/10/2004 HALI11 00000001 10825777 FILED UNDER 37 CFR 1.53(b)

02 FC:1051 130.00 OP Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

~~08/30/2004 FFANAI2 00000041 233040 10825777~~ Commissioner for Patents~~02 FC:1051 130.00 DA~~ P.O. Box 1450

Alexandria VA 22313-1450

Adjustment date: 09/10/2004 HALI11

~~08/30/2004 FFANAI2 00000041 233040 10825777~~~~02 FC:1051 130.00 CR~~*A copy of this notice **MUST** be returned with the reply.*

Hle

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
Dunn et al 1-1

In Re Application Of: Jeffrey R. Dunn & Cynthia E. Martin

Serial No.
10/825,777

Filing Date
04/15/2004

Examiner

Group Art Unit

Invention: **AUTHENTICATION MECHANISMS FOR CALL CONTROL MESSAGE INTEGRITY AND
ORIGIN VERIFICATION**

Mail Stop Missing Parts

TO THE COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 27, 2004
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Petition Under 37CFR 1.47(b) - 4 pages

Letter dated July 20, 2004 from Thomas J. Onka, Esq. to Christopher C. Tsien, Esq.

Letter dated March 11, 2004 from Christopher C. Tisen, Esq. to Thomas J. Onka, Esq.

Employment Agreements between Lucent Technologys and Jeffrey Dunn and Cynthia Martin

2 USPTO Credit Card Forms 2038 - 1 page

Acknowledgement Post Card

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
Dunn et al 1-1

In Re Application Of: Jeffrey H. Dunn & Cynthia E. Martin

Serial No.
10/825,777

Filing Date
04/15/2004

Examiner

Group Art Unit

Invention: AUTHENTICATION MECHANISMS FOR CALL CONTROL MESSAGE INTEGRITY AND
ORIGIN VERIFICATION

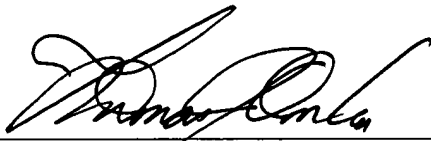
TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

The fee of \$260.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to
Deposit Account No. 23-3040
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge
any additional fees which may be required to Deposit Account No.

X Charge Credit Card - see attached USPTO Forms 2038

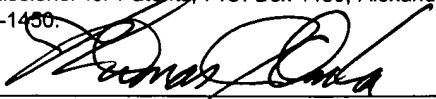


Signature

Dated: 8/25/04

Thomas J. Onka, 42,053
Synnestvedt Lechner & Woodbridge LLP
P.O. Box 592
Princeton, NJ 08542
609-924-3773 phone
609-924-1811 fax

I certify that this document and fee is being deposited
on 8/25/04 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.



Signature of Person Mailing Correspondence

Thomas J. Onka

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
Dunn et al 1-1

In Re Application Of: **Jeffrey H. Dunn & Cynthia E. Martin**

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Invention: **AUTHENTICATION MECHANISMS FOR CALL CONTROL MESSAGE INTEGRITY AND
ORIGIN VERIFICATION**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☒ Petition and fee for filing by other than all the inventors or a person not the inventor **\$130.00**

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$260.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$260.00**